

**PRAIRIE VIEW A&M UNIVERSITY  
UNIVERSITY RULE**



**15.99.03.P1 Ethics in Research, Scholarship and Creative Work**

Approved July 29, 2014  
Reviewed May 31, 2018  
Revised September 4, 2018  
Reviewed October 31, 2023  
Next Scheduled Review: October 1, 2028

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**Rule Statement**

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Prairie View A&M University (PVAMU) strives to maintain superior standards in all research, scholarship, and creative work activities and expects all employees and other persons participating in PVAMU projects and/or support activities to do likewise.

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**Reason for Rule**

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This university rule is required by System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#) for handling allegations of scientific misconduct consistent with the terms of the System Regulation.

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**Official Procedures and Responsibilities**

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**1. DESIGNATED OFFICER**

- 1.1 The designated officer will be the Vice President for Research and Innovation (VPRI). The VPRI is responsible for carrying out the provisions of this university rule and System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#). For the purposes of this university rule, the VPRI will be referred to as the Research Integrity Officer (RIO).

**2. DECIDING OFFICIAL**

- 2.1 The Deciding Official (DO) will be the university president or their designee. The DO is responsible for making final determinations on allegations of misconduct in research, scholarship or creative work activities and any responsive institutional actions. The DO shall not be involved in the allegation assessment, inquiry or investigation.

**3. ALLEGATIONS OF MISCONDUCT**

- 3.1 Any allegations of misconduct in research, scholarship or creative work activities conducted on behalf of PVAMU should be reported in writing to the RIO.

## 4. INQUIRY, INVESTIGATION, AND ADJUDICATION

### 4.1 Inquiry

4.1.1 An inquiry based upon a written complaint (sufficiently credible and specific) may be initiated by the RIO or a committee appointed by the RIO with oversight from the Office of Research Compliance. The inquiry will be conducted in accordance with the guidelines specified in System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#). The inquiry is an assessment of whether the allegation has substance and if the allegation warrants an investigation.

### 4.2 Investigation

4.2.1 After review by the DO, in consultation with the RIO, should an inquiry provide sufficient basis for conducting an investigation, one will be conducted in accordance with the guidelines specified in System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#). A formal investigation will be conducted by a committee appointed by the RIO. The investigation is an examination of relevant facts to determine if misconduct has occurred.

### 4.3. Adjudication

4.3.1 The investigation committee's report of findings and any recommended sanctions and/or restorative actions along with the respondent's comments, if any, will be forwarded to the DO for adjudication in accordance with System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#).

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### Related Statutes, Policies, Regulations and Rules

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[42 CFR, Part 50](#)

[42 CFR, Part 93](#)

[45 CFR, Part 689](#)

[System Regulation 15.99.03, Ethics in Research, Scholarship and Creative Work](#)

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### Definitions

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See "Definitions" in System Regulation [15.99.03, Ethics in Research, Scholarship and Creative Work](#).

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### Contact Office

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Office of Research, Innovation and Sponsored Programs 936-261-1587

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