Compliance Committee
FY2015

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Committee Co-Chair: Ms. Lydia Cavanaugh
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Athletic Compliance: Ms. Monique Carroll
IT-Ellucian: Mr. Rodney Moore
Financial Administration: Ms. Patricia Baughman
Enrollment Management: Mr. Mark Pearson
University Compliance Office: Ms. Alexia Taylor
Information Resources: Mr. Midhat Asghar
Business Services: Dr. Cynthia Carter
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Owens Franklin Health Center: Ms. Thelma Pierre

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www.pvamu.edu/universitycompliance
Vision
To serve the University as the primary professional resource in identifying and mitigating compliance risk.

The objectives of a compliance program are to:
- Provide a systematic approach to the early identification and management of risks;
- Provide consistent risk assessment criteria;
- Make available accurate and concise risk information that informs decision making including business direction;
- Adopt risk treatment strategies that are cost effective and efficient in reducing risk to an acceptable level; and,
- Monitor and review risk levels to ensure that risk exposure remains within an acceptable level.

Governance
System Ethics and Compliance responsibilities are defined in System Policy 16.01, System Regulation 16.01.01, Chapter 8 of the Federal Sentencing Guidelines Part B2, Effective Compliance and Ethics, and in the Texas Education Code Section 51.971, Compliance Program.

Purpose
The Compliance Office oversees the University Compliance Program, ensuring the institution is following federal and state regulation, as well as Texas A&M University System regulatory standards. To do this, the office works with System Offices and other Members to establish and maintain an effective, system-wide ethics and compliance program. The Compliance Office certifies that policies and procedures are being followed and that behavior in the organization meets the standards set forth in applicable laws and the institution’s commitment to integrity.

Compliance is not a stand-alone discipline. Compliance works collaboratively with the President and System Ethics and Compliance Officer (SECO) to protect the integrity of the University. This Office also works with the following System Offices to mitigate risks: Internal Audit, Office of General Counsel and Risk Management. Compliance is ultimately a team effort.

Mission
We are committed to supporting the University’s mission to achieve its first-class status in teaching, research and service by providing effective, efficient and impeccable customer services by establishing an effective and proactive monitoring system that will help prevent and/or detect noncompliance of applicable laws, policies, regulations, rules, and procedures.

University Compliance
The S.O.C.I.A.L. Acronym
S: Service
O: Ownership
C: Compliance
I: Integrity
A: Accountability
L: Leadership

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University Compliance Office
Functions
- Assist departments in developing, updating, and monitoring of Rules & Procedures
- Review and implementation of audit findings & management responses
- Compliance Training
- Compliance Committee

University Compliance Office Staff
- Lydia Cavanaugh, Director 936.261.2155
- Alexia Taylor, Senior Compliance Officer 936.261.2118
- Craig Nunn, University Compliance Officer 936.261.2117
- Aliza Dirden, University Compliance Officer 936.261.2116