

**NCAA Division I Committee on Athletics Certification  
Governance & Commitment to Rules Compliance (Class 7)**

The committee developed these measurable standards to clarify expectations for each operating principle and to bring more consistency to the athletics certification process for institutions, peer-review teams and the committee.

*~ Measurable Standards for Operating Principle 1.1 ~  
Institutional Control, Presidential Authority and Shared Responsibilities*

- The institution's governing board's oversight and policy formulation for athletics must be consistent with its policies and stated responsibilities for other units of the institution.
- The president or chancellor must have clear and direct oversight of the athletics program.
- The institution should identify individuals or groups external to the athletics department that have meaningful input in formulation of policies and the periodic review of policy implementation.

*~ Measurable Standards for Operating Principle 1.2 ~  
Rules Compliance*

The institution must...

- ...Assign direct accountability for rules compliance to the individual the CEO assigns overall responsibility for the athletics program (e.g., athletics director, vice-president for athletics).
- ...Have written compliance policies and procedures.
- ...Identify individuals who have compliance-related responsibilities and must identify the reporting lines of these individuals.
- ...Ensure that the compliance policies and procedures are functioning.
- ...Identify individuals external to the athletics department who are active participants in critical and sensitive areas of compliance (e.g., eligibility certification, investigation and self-reporting of rules violations, monitoring financial aid).
- ...Demonstrate that individuals external to the athletics program (e.g., registrar, financial aid personnel, faculty athletics representative) are engaged in the critical and sensitive areas of compliance.
- ...Have an ongoing educational program for all individuals associated with the athletics program including boosters, institutional staff members, student-athletes and athletics department staff, which include coaches.

- A statement regarding the importance of rules compliance must be included in all of the following for all administrative staff and coaches associated with athletics: contracts or letter of appointments, performance evaluations and job descriptions.
- A statement regarding the importance of rules compliance must be included in all of the following for all individuals external to athletics with rules compliance responsibilities: contracts or letter of appointments, performance evaluations and job descriptions.
- The institution must provide documentation that demonstrates that its entire rules-compliance program has been subject to an external comprehensive review once every four years.
- The institution's comprehensive compliance review must be conducted by an individual(s) external to athletics who is knowledgeable of NCAA compliance and who does not have day-to-day responsibilities in the areas under review.
- The comprehensive review must demonstrate that the rules-compliance program is engaged and functioning.
- The comprehensive rules-compliance evaluation must include, at a minimum, the following areas:
  - a. Initial-eligibility certification
  - b. Continuing-eligibility certification
  - c. Transfer-eligibility certification
  - d. Financial aid administration, including individual and team limits
  - e. Recruiting (e.g., official visits, contacts, phone calls)
  - f. Camps and clinics
  - g. Investigations and self-reporting of rules violations
  - h. Rules education
  - i. Extra benefits
  - j. Playing and practice seasons
  - k. Student-athlete employment